



Docket No.: 3053-040

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s) :** Lewis S. Ostrover

**Serial No. :** 09/921,044      **Examiner :** NGUYEN, HUY T.

**Filed :** August 2, 2001      **Group Art Unit::** 2616

**For :** APPARATUS FOR GENERATING CONTENT CODS  
FOR AUDIOVISUAL PROGRAMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97. and 1.98, applicants submit herewith a Supplemental Information Disclosure Statement (IDS) accompanied by the Form PTO-1449 with the prior art references listed therein.

Since the Patent Office has waved the requirement under 37 CFR § 1.98(a)(2)(I) for submitting a paper copy of each cited US Patents and US Patent Application Publication, enclosed herewith are only the copies of non-US Patent documents referenced in this IDS.

Further, because all the documents are in the English language, no concise explanation of their relevancy is necessary, as pointed out in 37 CFR §1.98 (3)(i).

Inasmuch as the subject application has not yet received a first Office Action on the merits, this information is believed to be timely filed under 37 CFR §1.97(b)(3). Accordingly, consideration of the enclosed references is deemed proper, and is therefore respectfully requested.

No fee is deemed necessary in connection with the filing of this communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to the undersigned firm's Deposit Account No.07-1730, Docket No. 3053-040. A duplicate copy of this communication is attached for that purpose.

Respectfully submitted  
GOTTLIEB, RACKMAN & REISMAN, P.C.

New York, N.Y.

Dated: 02.02.06

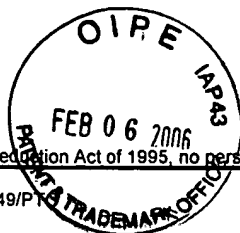
By: \_\_\_\_\_



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	09/921,044
Filing Date	August 2, 2001
First Named Inventor	Lewis S. Ostrover
Art Unit	2616
Examiner Name	NGUYEN, Huy Thanh
Attorney Docket Number	3053-040

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,406,534	04.1995	Hisakado et al.	
		US- 5,311,497	05.1994	Takizawa et al.	
		US- 5,097,465	03.1992	Funabashi et al.	
		US- 2003/0063549	04.2003	Kato et al.	
		US- 2003/0123338	07.2003	Revis, Paul A.	
		US- 5,621,713	04.1997	Sato et al.	
		US- 2001/0008510	07.2001	Nakayama et al.	
		US- 6,618,341	09.2003	Yamashita et al.	
		US- 4,774,600	09.1988	Baumeister	
		US- 5,661,844	08.1997	Park	
		US- 4,368,961	01.1983	Mattes	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		wo 03/012583	02.13.2003	Time Warner Entertainment		
		JP 10021633 A	01.1998	FUGIMURA, SHINSUKE		
		JP 11007669	01.1999	YAMAUCHI et al.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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